

01-16-01

A

PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

389522

First Inventor

George Cybenko

Title

System and Methods for Encrypted Execution of Computer Programs

Express Mail Label No.

EL656243906US

(Only for new nonprovisional application under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. X

Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

7. CD-ROM or CD-R in duplicate, large table or Computer

2. X

Applicant claims small entity status.  
See 37 CFR 1.27.8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

3. X

Specification [Total Pages 12]  
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

a. Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. CD-ROM or CD-R (2 copies); or  
ii. paper  
c. Statements verifying identity of above copies

4. X

Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

5. X

Oath or Declaration/Power of Attorney [Total Pages 3]

a. Newly executed (original or copy)  
b. Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)

i. **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

## ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))  
10. 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee)  
11. English Translation Document (if applicable)  
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations  
13. Preliminary Amendment  
14. X Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. Other:.....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

Continuation (CIP)

of prior application No.: \_\_\_\_\_/\_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

Correspondence address below

Name

Thomas Swenson

Address

Lathrop &amp; Gage, LLC

4845 Pearl East Circle, Suite 302

City

Boulder

State

CO

Zip Code

8580301

Country

USA

Telephone

(303)449-5800

Fax

(303)443-6998

Name (Print/Type)

Thomas Swenson

Registration No. (Attorney/Agent)

36,696

Signature

Thomas Swenson

Date

January 12, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	Not Assigned
Filing Date	Herewith
First Named Inventor	George Cybenko
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	389522

**TOTAL AMOUNT OF PAYMENT**(\$)355.00**METHOD OF PAYMENT (check one)**1. ☐ The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name 12-0600☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27**Payment Enclosed:**☒ Check ☐ Credit Card ☐ Money Order☐ Other**FEE CALCULATION****BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>					<b>355</b>

**EXTRA CLAIM FEES**

			Fee from		
			Extra Claims	below	Fee Paid
Total Claims	16	-20** =	X		
Independent Claims	2	-3** =	X		
Multiple Dependent					

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 0.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use Proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional Applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment Per property (times number of properties)	
146	710	246	355	Filing a submission after final Rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be Examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination Of a design application	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** 0.00

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Thomas Swenson	Registration No. (Attorney/Agent)	36,696	Telephone	303-449-5800
Signature	Thomas Swenson	Date	Jan. 12, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.